

PCT/US96/04314		17 OCT 1994	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CASE NO. 2860-026
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>08/537736</b>		
INTERNATIONAL APPLICATION NO. PCT/US96/04314	INTERNATIONAL FILING DATE April 19, 1994	PRIORITY DATE CLAIMED April 19, 1993		
TITLE OF INVENTION RANDOM CHEMISTRY FOR THE GENERATION OF NEW COMPOUNDS				
APPLICANT(S) FOR DO/EO/US STUART A. KAUFFMAN AND JULIUS REBEK, JR.				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>				
Items 11. to 16. below concern other document(s) or information included:				
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input type="checkbox"/> Other items or information:</p>				

17.  The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO . . . . . \$880.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) . . . . . \$680.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) . . . . . \$750.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . . \$1010.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) . . . . . \$94.00

### ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 680.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate	
Total Claims	69 - 20 =	49	X \$22.00	\$ 1,078.00
Independent Claims	8 - 3 =	5	X \$78.00	\$ 390.00
Multiple dependent claim(s) (if applicable)			+ \$250.00	\$ 250.00
			TOTAL OF ABOVE CALCULATIONS =	\$
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$
			SUBTOTAL =	\$ 2,528.00
Processing fee of \$130.00 for furnishing the English translation later than the				
<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+ \$	
			TOTAL NATIONAL FEE =	\$ 2,528.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	
			TOTAL FEES ENCLOSED =	\$ 2,528.00
			Amount to be refunded	\$
			charged	\$

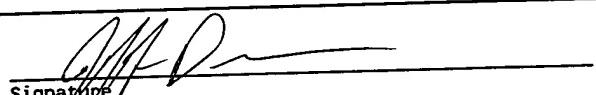
a.  A check in the amount of \$ 2,528.00 to cover the above fees is enclosed.

b.  Please charge my Deposit Account No. 23-1925 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.

c.  The Assistant Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence to:  
Jeffery M. Duncan, Esq.  
WILLIAMS BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610

  
Signature  
Jeffery M. Duncan  
Name  
31,609  
Registration Number

07 Rec'd PCT/PTO

17 OCT 1995

08/537736

"Express Mail" mailing label number  
TB 677082109 US

Date of Deposit October 17, 1995

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents and Trademarks, Washington, D.C. 20231, Attention: DO/EO/US

*Andrea Burgess*

(Typed or printed name of person mailing paper or fee)

*Andrea Burgess*

(Signature of person mailing paper)

FORM PTO-1390 (REV. 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CASE NO. 2860-026
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PATENT APPLICATION SERIAL NO. 08/537736

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

SE18118 06/12/96 08537736 23-1925 180 254 65.00CR

PTO-1556 (5/87)	510 WC 03/21/96 08537736 1 154 130.00-CK 1 254 130.00 CK <i>OK to refund 65.00</i>
190 MS 11/06/95 08537736	1 956 680.00 ER 1 964 390.00 ER
190 MS 11/06/95 08537736	1 966 1,078.00 CK
190 MS 11/06/95 08537736	1 968 250.00 CK
190 MS 11/06/95 08537736	1 154 130.00 CK
190 MS 11/06/95 08537736	